Form ADV Part 3: Relationship Summary
Round Table Investment Strategies, LLC

Introduction

Round Table Investment Strategies, LLC ("Round Table") is an investment adviser registered with the U.S. Securities and Exchange Commission. We offer our clients investment advisory services. Clients should understand that the services we provide and fees we charge are different than those of a broker-dealer and that it is important to understand the difference between the two. Free and simple tools are available to research firms and financial professional at https://www.investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers, and investing.

What Investment Services and Advice Can You Provide Me?

Description of Services: We offer investment advisory services to retail investors in the form of *Asset Management Services*. Through this service, we can select investments on your behalf or select one or more sub-advisers for model portfolios, to monitor, manage, and trade your account(s). We will discuss your investment goals and design a strategy to try and achieve your investment goals. As a standard part of our services, we will continuously monitor your account when providing asset management services and contact you at least annually to discuss your portfolio. For more information, please see ***Item 4*** of our ***Form ADV Part 2A***. When engaged for asset management services, we provide the services on a **discretionary** basis (we will have the authority to determine the type and amount of securities to be bought or sold in your account), unless we grant an exception to manage an account on a **non-discretionary basis** (we will have to confirm any trades in your account with you before we place them). For more information about investment authority, please see ***Item 16*** of our ***Form ADV Part 2A***. We also provide *Financial Planning and Consulting Services* to clients with more than $1 million under our management. Financial planning services involve creating a financial plan for you covering relevant topics, which may include retirement, tax, estate, insurance, college, or other types of planning, as appropriate to your circumstances. Planning will be documented in whatever fashion that best supports the execution of the plan and the tracking of financial goals. Please see ***Item 4*** of our ***Form ADV Part 2A***.

Limited Investment Offerings: We do not primarily recommend one type of security to clients. Instead, we recommend investment portfolios designed to be suitable for each client relative to that client's specific circumstances and needs. However, we are limited in investment selection in that we can only invest your account in securities which are available on your custodian/broker-dealer or annuity's platform. When providing you services, we do not recommend or offer advice on any proprietary products.

Account & Fee Minimums: Round Table's standard minimum investment requirement is $1,000,000 of assets under management, under our standard tiered-blended fee schedule. However, if it is mutually agreed that the scope of services justifies the arrangement, we can instead charge an alternative minimum annual advisory fee of $9,700. See ***Items 7*** of ***Form ADV Part 2A*** for more details.

Conversation Starters: *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What Fees Will I Pay?

Description of Principal Fees & Costs: Fees charged for our asset management services are based on a percentage of assets under management, billed in arrears on a quarterly calendar basis, and calculated based on the fair market value of your account as of the last business day of the current billing period. The annual fee for asset management services will based upon a tiered-blended fee schedule, with tiers ranging from 1.00% to 0.10%. If we use a sub-adviser to manage a portion of your account, the total fee charged (our fee plus the sub-adviser's fee) will not exceed 2.00%. Because our fee is based upon the value of your account we have an incentive to recommend that you increase the level of assets in your account. When engaging us for asset management services, you will also incur other fees and expenses from other parties. The broker-dealer/custodian on your account will charge you fees and expenses for maintaining your account. You will also be charged internal fees and expenses by the funds we invest in within your account. We do not charge extra or additional fees for financial planning services.

Additional Information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information about the fees we charge and the other fees and expenses you will incur, please see ***Item 5*** of ***Form ADV Part 2A***.

Conversation Starters: *Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What Are Your Legal Obligations to Me When Acting as My Investment Adviser? How Else Does Your Firm Make Money and What Conflicts of Interest Do You Have?

Standard of Conduct: When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means: To the extent we recommend you roll over your account from a current retirement plan to an individual retirement account ("IRA") managed by us and subject to our asset-based investment advisory fees, this is a conflict of interest because we have a financial incentive to recommend that you move your IRA to us even if it is not in your best interest. For more information about this conflict see ***Item 4*** of our ***Form ADV Part 2A***. When we provide asset management services, we will ask that you establish an account with Charles Schwab to maintain custody of your assets and to effect trades for your account. Our recommendation to use Schwab is not based solely on your interest of receiving the best execution possible. We also recommend Schwab because they provide us with research, products and tools that help us manage and further develop our business operations. As a result, we do not have to pay for such benefits, which saves us money; however, these arrangements create a conflict of interest. See ***Item 12*** of our ***Form ADV Part 2A*** for more information about our arrangements with Schwab.

Conversation Starters: *How might your conflicts of interest affect me, and how will you address them?*

Additional Information: For more information about our conflicts of interests and the ways we are compensated, please see ***Item 5*** and ***Item 10*** of our ***Form ADV Part 2A***.

How Do Your Financial Professionals Make Money?

Description of Salary/Payment of IARs: Our financial professionals are compensated by a combination of salaries, distributions, and bonuses. Owners receive compensation from the firm's profits after expenses. Because owners benefit financially from the firm's overall revenue and assets under management, they have an incentive to encourage clients to invest more assets with the firm or maintain assets at the firm. This presents a conflict of interest, which we manage by adhering to our fiduciary obligation to act in clients' best interests.

Do You or Your Financial Professionals Have Legal or Disciplinary History?

Yes. You can look up more information about us and our investment adviser representatives at https://www.investor.gov/CRS.

Conversation Starters: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information About Round Table

Additional information about us and a copy of this relationship summary are available on the Internet at https://rtinvestments.com/. You can also find our disclosure brochures and other information about us at https://adviserinfo.sec.gov/firm/summary/139492. If you have any questions or want an up-to-date copy of this relationship summary, we can be reached by phone at 402-200-4084.

Conversation Starters: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*